

August 1, 2020 - August 31, 2020

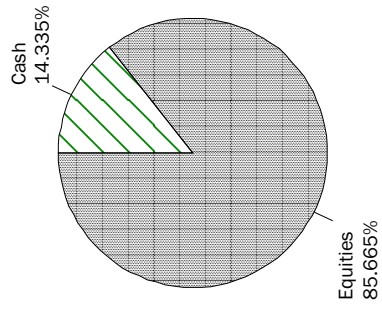
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ACCOUNT NUMBER **UA**

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Margin account	\$118.04	\$608.92
NET ACCOUNT BALANCE	118.04	608.92
Securities	3,969.08	3,638.83
TOTAL PRICED PORTFOLIO	3,969.08	3,638.83
Total Equity Holdings	\$4,087.12	\$4,247.75

▶ PORTFOLIO EQUITY ALLOCATION



ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
 MEMBER FINRA, NYSE and SIPC

See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

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1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

INCOME AND EXPENSE SUMMARY

	THIS PERIOD	YEAR TO DATE
Taxable dividends	\$0.57	\$4.40
Debit interest expense	\$0.00	\$1.01
Credit interest	0.00	0.01
Non-resident tax paid	-0.15	-0.67
Foreign tax paid	0.00	-0.09
Substitute payments	0.40	0.40

PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
AMN HEALTHCARE SVCS INC	AMN	M	1	\$53.84	\$53.84	\$54.94	-2%		1.267%
ALTRIA GROUP INC	MO	M	1	43.74	43.74	41.15	6	3	1.030
AMARIN CORPORATION PLC SPONSORED ADR	AMRN	M	10	7.76	77.60	64.80	20		1.827
ALLY FINANCIAL INC	ALLY	M	3	22.88	68.64	60.30	14	2	1.616
ANAVEX LIFE SCIENCES CORP COM	AVXL	M	18	4.24	76.32	75.96	<1		1.797
AGEAGLE AERIAL SYSTEMS INC COMMON STOCK	UAVS	M	45	3.10	139.50	124.65	12		3.284
AYTU BIOSCIENCE INC COMMON STOCK	AYTU	M	32	1.06	33.92	44.48	-24		.799
ASSERTIO HOLDINGS INC COMMON STOCK	ASRT	M	70	0.81	56.70	63.00	-10		1.335

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▲ PORTFOLIO SUMMARY (CONTINUED)

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS (continued)									
ADTRAN INC	ADTN	M	5	11.09	55.45	62.10	-11	2	1.305
BANK OF AMERICA CORP	BAC	M	2	25.74	51.48	49.76	3	1	1.212
BIOLINERX LTD AMERICAN DEPOSITARY SHARES	BLRX	M	35	1.82	63.70	79.45	-20		1.500
BIOMX INC COMMON STOCK	PHGE	M	9	6.10	54.90	47.25	16		1.292
CALAMP CORP	CAMP	M	10	8.17	81.70	78.80	4		1.923
CLOVIS ONCOLOGY INC COM	CLVS	M	9	5.21	46.89	52.11	-10		1.104
CINEDIGM CORP CLASS A COMMON STOCK	CIDM	M	30	0.88	26.40	42.60	-38		.622
CRONOS GROUP INC COM	CRON	M	10	5.57	55.70	65.50	-15		1.311
CHINA SXT PHARMACEUTICALS INC ORDINARY SHARES	SXTC	M	93	0.30	27.90	36.83	-24		.657
CLOUDFLARE INC CLASS A COMMON STOCK PAR VALUE \$0.001 PER SHARE	NET	M	2	38.26	76.52	83.24	-8		1.801
CHEESECAKE FACTORY INC	CAKE	M	3	29.53	88.59	72.00	23		2.086
DIGIRAD CORPORATION COMMON STOCK	DRAD	M	20	2.50	50.00	56.00	-11		1.177
ENDO INTERNATIONAL PLC	ENDP	M	15	3.01	45.15	52.20	-14		1.063
EPIZYME INC COM	EPZM	M	4	13.00	52.00	55.36	-6		1.224

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EQUITIES / OPTIONS (continued)									
ETF SERIES SOLUTIONS U S GLOBAL JETS ETF	JETS	M	4	17.76	71.04	61.76	15	2	1.672
FASTLY INC CLASS A COMMON STOCK	FSLY	M	3	92.84	278.52		N/A		6.557
FRONT YARD RESIDENTIAL CORPORATION COMMON STOCK	RESI	M	7	9.75	68.25	60.69	12	4	1.607
GENIUS BRANDS INTERNATIONAL INC COMMON STOCK	GNUS	M	16	1.07	17.12	23.36	-27		.403
GRIDSUM HOLDING INC AMERICAN DEPOSITARY SHARES	GSUM	M	58	0.917	53.19	42.75	24		1.252
GAMIDA CELL LTD ORDINARY SHARES	GMDA	M	10	4.36	43.60	40.10	9		1.026
GENERAL ELECTRIC COMPANY COM	GE	M	8	6.34	50.72	48.56	4		1.194
IMPINJ INC COM	PI	M	2	24.45	48.90	47.20	4		1.151
HEXO CORP COM	HEXO	M	60	0.719	43.14	40.50	7		1.016
INSPIREMID INC COMMON STOCK	NSPR	M	100	0.394	39.40	45.80	-14		.928
KARYOPHARM THERAPEUTICS INC	KPTI	M	3	15.21	45.63	48.15	-5		1.074
LEXINGTON REALTY TRUST	LXP	M	5	11.37	56.85	58.00	-2	2	1.338
LEAP THERAPEUTICS INC COMMON STOCK	LPTX	M	20	1.74	34.80	41.00	-15		.819

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EQUITIES / OPTIONS (continued)									
LIONS GATE ENTMT CORP CL B NON VTG	LGFB	M	9	9.06	81.54	63.99	27		1.920
LYFT INC CLASS A COMMON STOCK	LYFT	M	2	29.67	59.34	58.46	2		1.397
MINERVA NEUROSCIENCES INC COM	NERV	M	12	3.18	38.16	41.82	-9		.898
MYOVANT SCIENCES LTD COMMON SHARES	MYOV	M	2	20.38	40.76	30.40	34		.960
MY SIZE INC COMMON STOCK	MYSZ	M	50	1.06	53.00	70.00	-24		1.248
NORWEGIAN CRUISE LINE HOLDINGS LTD ORDINARY SHARES	NCLH	M	3	17.11	51.33	40.92	25		1.208
NABRIVA THERAPEUTICS PLC ORDINARY SHARES	NBRV	M	90	0.619	55.71	68.40	-19		1.312
NIO INC AMERICAN DEPOSITORY SHARES ECH RRSNTNG ONE CL A ORD SHARE	NIO	M	12	19.03	228.36	143.28	59		5.376
NANO DIMENSION LTD AMERICAN DEPOSITORY SHARES	NNDM	M	20	1.65	33.00	45.80	-28		.777
PAGERDUTY INC COMMON STOCK PAR VALUE \$0.000005 PER SHARE	PD	M	3	32.67	98.01	91.44	7		2.307
PINTEREST INC CLASS A COMMON STOCK	PINS	M	3	36.79	110.37	102.87	7		2.598
RA MEDICAL SYSTEMS INC COMMON STOCK	RMED	M	100	0.337	33.70	29.20	15		.793

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EQUITIES / OPTIONS (continued)									
RAYTHEON TECHNOLOGIES CORPORATION COMMON STOCK	RTX	M	1	61.00	61.00	56.68	8	2	1.436
STRATASYS LTD	SSYS	M	3	14.86	44.58	44.94	-1		1.049
SLACK TECHNOLOGIES INC CLASS A COMMON STOCK	WORK	M	2	32.84	65.68	59.10	11		1.546
TRIUMPH GROUP INC NEW COMMON STOCK	TGI	M	8	7.23	57.84	54.24	7		1.362
TRIGIDA INC COMMON STOCK	TCDA	M	10	10.57	105.70		N/A		2.488
TOP SHIPS INC COMMON STOCK	TOPS	M	12	1.29	15.48		N/A		.364
TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR	TEVA	M	5	9.87	49.35	57.70	-14		1.162
WALGREEN BOOTS ALLIANCE INC COM	WBA	M	1	38.02	38.02	40.71	-7	2	.895
WESTROCK COMPANY COM	WRK	M	2	30.33	60.66	53.72	13	2	1.428
YEET INC COMMON STOCK	YEET	M	4	19.86	79.44	67.28	18		1.870
Total Equities					\$3,638.83			\$22	85.665%
Total Cash (Net Portfolio Balance)					\$608.92				14.335%
TOTAL PRICED PORTFOLIO					\$4,247.75			\$22	

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▶ ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	08/05/20	M	CALL NIO 08/21/20 15 NIO INC ADS UNSOLICITED OPEN CONTRACT Security Number: 8LVLWL8	1	\$1.04	\$105.14	
SOLD	08/05/20	M	PDL BIOPHARMA INC UNSOLICITED CUSIP: 69329Y104	25	3.3123		82.77
SOLD	08/05/20	M	PITNEY BOWES INC UNSOLICITED CUSIP: 724479100	22	4.97		109.31
SOLD	08/06/20	M	ACASTI PHARMA INC CLASS A COM UNSOLICITED CUSIP: 00430K402	100	0.785		78.40
SOLD	08/06/20	M	SOHU.COM LIMITED AMERICAN DEPOSITARY SHARES UNSOLICITED CUSIP: 83410S108	8	22.55		180.37
SOLD	08/07/20	M	ON DECK CAPITAL INC COM UNSOLICITED CUSIP: 682163100	75	1.5901		119.18
SOLD	08/07/20	M	TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM UNSOLICITED CUSIP: 874039100	1	82.2305		82.21
SOLD	08/10/20	M	INFINERA CORP UNSOLICITED CUSIP: 45667G103	10	9.22		92.18

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ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	08/11/20	M	FASTLY INC CLASS A COMMON STOCK UNSOLICITED CUSIP: 31188V100	3	84.13	252.39	
SOLD	08/12/20	M	CALL NIO NIO INC ADS UNSOLICITED Security Number: 8LVLWL8	1	0.82		81.85
BOUGHT	08/20/20	M	TRICIDA INC COMMON STOCK UNSOLICITED CUSIP: 89610F101	10	14.54	145.40	
SOLD	08/20/20	M	AQUESTIVE THERAPEUTICS INC COMMON STOCK UNSOLICITED CUSIP: 03843E104	10	7.56		75.58
SOLD	08/26/20	M	PRECIGEN INC COMMON STOCK UNSOLICITED CUSIP: 74017N105	15	6.01		90.11

Total Buy / Sell Transactions **\$502.93** **\$991.96**

DIVIDENDS AND INTEREST

DIVIDEND	08/14/20	M	ALLY FINANCIAL INC CASH DIV ON 3 SHS REC 07/31/20 PAY 08/14/20 NON-RES TAX WITHHELD CUSIP: 02005N100		\$0.19 WH	0.09	\$0.57
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ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
DIVIDENDS AND INTEREST (continued)							
SUB PAY	08/25/20	M	WESTROCK COMPANY COM		0.20	0.06	0.40
			SUBST PAY ON 2 SHS				
			REC 08/14/20 PAY 08/25/20				
			IN LIEU OF DIVIDEND				
			NON-RES TAX WITHHELD				
			CUSIP: 96145D105				

Total Dividends And Interest **\$0.15** **\$0.97**

MISCELLANEOUS TRANSACTIONS

REV SPLIT	08/07/20	M	TOP SHIPS INC SHS NEW	12			
			RESULT OF REVERSE SPLIT				
			CUSIP: Y8897Y180				
REV SPLIT	08/07/20	M	TOP SHIPS INC COMMON STOCK	-320			
			REVERSE SPLIT @ 1:25				
			INTO Y8897Y180				
			CUSIP: Y8897Y164				
CASH-LIEU	08/26/20	M	TOP SHIPS INC COMMON STOCK				1.03
			CIL ON 0.80000@ 1.29081				
			CUSIP: Y8897Y180				

Total Miscellaneous Transactions **\$1.03**