

September 1, 2020 - September 30, 2020

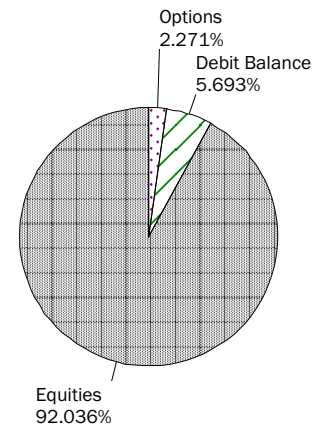
PAGE 1 OF 21

1-17 TUA

▶ ACCOUNT SUMMARY

	OPENING BALANCE	CLOSING BALANCE
Margin account	\$1,135.43	\$-17,840.65
NET ACCOUNT BALANCE	1,135.43	-17,840.65
Securities	249,224.28	295,554.16
TOTAL PRICED PORTFOLIO	249,224.28	295,554.16
Total Equity Holdings	\$250,359.71	\$277,713.51

▶ PORTFOLIO EQUITY ALLOCATION



ACCOUNT CARRIED BY:
APEX CLEARING CORPORATION
 MEMBER FINRA, NYSE and SIPC

See Reverse Side for Important Tax Information. This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions excepted. Please address all communications to the firm and not to individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**

IMPORTANT INFORMATION

You may have received a confirmation for a trade, which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement the trade will appear on your next regular monthly statement.

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on the debit balance in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 16th day of the preceding month to the 15th day of the current month except in December and January. In December, the charges include the last day of the year and, in January, the interest is calculated from the first day of the month. The interest is based on the average daily net debit balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the net debit balance.

We are required to report to the Internal Revenue Service all cash dividends and registered bond interest credited to your account on securities held for you in our name. We also report coupon bond interest. All dividends and interest credits should be included in your income tax return.

Information relative to commission and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of this information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure with randomly selects form among all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise your brokerage firm or bank of any material changes concerning your investment objectives or financial situation.

Our financial statement is available for your personal inspection at our office, or a copy of it will be mailed upon your written request.

SIPC Protection. As a member of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please contact SIPC at (202) 371-8300 or visit www.sipc.org. Apex has purchased an additional insurance policy through a group of London Underwriters to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection for securities and cash up to certain limits. Similar to SIPC protection, this additional insurance does not protect against a loss in the market value of securities.

Any free credit balance represents funds payable upon demand which, although properly accounted for on our books of records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

NOTICE TO CUSTOMERS

Apex is a member of the New York Stock Exchange and various other exchanges. Apex acts as clearing agent for your trades.

As required under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

Your account, which was introduced to us by your broker or bank, is established under your name on a "fully disclosed" basis at Apex. As a clearing agent, we provide securities clearance and may provide order execution on you broker's or bank's instructions.

You will remain a customer of your broker or bank. Apex will not be involved with or have any responsibility for decisions regarding securities transactions in your account. Your broker or bank will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders, and any instructions regarding the deposit or withdrawal of securities or monies should be made through your broker or bank.

In addition to the above mentioned services, Apex will provide cashing services, safeguarding of funds and securities while in Apex's possession, monitoring compliance with applicable credit Regulation T and Apex's internal policies, preparing and mailing your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account will be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charged to customers, consult the Truth in Lending Notice which is made available through your broker or bank.

Apex is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA") and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or at their website at www.finra.org.

Apex carries your account and acts as your custodian for funds and securities deposited with us directly by you, through your brokerage firm or bank or as a result of transactions we process for your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both your brokerage firm or bank (not to your individual broker or agent) and Apex. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communication in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to your brokerage firm or bank. Account positions and balance inquiries or concerns should be directed to Apex by telephone at 214-765-1009.

ACCOUNT SUMMARY:

Displays applicable account type balance, money fund balances, priced portfolio value, and total account equity as of the opening and closing of the statement period. Priced Portfolio Value and Total Portfolio Equity are rounded to the nearest dollar. Total Priced Portfolio and Expense does not include unpriced securities.

INCOME AND EXPENSE SUMMARY:

Lists all income earned during the current statement period, as well as year to date. Section includes taxable and non-taxable dividends and interest, capital gains and MLP (Master Limited Partnership) distributions. This section also displays and Margin Interest Expenses.

PORTFOLIO EQUITY ALLOCATION:

The Pie Chart is an estimate for illustrative purposes only.

PORTFOLIO SUMMARY:

Lists all securities held in your account.

MARKET VALUE:

This amount represents the value of the security position in your portfolio based on appraisals obtained from the various quotation services. These appraisals are based on the closing prices, bond yields and/or the mean bid and ask on the last day of the statement period. Because of the nature of the data provided by the quotation services, we cannot guarantee the accuracy of such prices or the valuation dates particularly in the case of inactive or infrequently traded securities.

Some securities in your portfolio may be listed as "Unpriced" on your statement. We use an automated pricing service from an outside vendor and certain prices may not be available to them. You can obtain a current quotation, upon request, from your broker or bank.

Bond prices might differ from current market quotes. Similarly, bond ratings may differ from current actual ratings. Computerized pricing and rating services are often unable to supply us with up to the minute information. Your broker or bank will be pleased to obtain a current quotation upon request.

ESTAMATED ANNUAL INCOME

The current annual dividend or bond interest rate for each security held, if available from quotation services. The rate is multiplied by the number of shares or par value of bonds to determine estimated annual income.

OPEN ORDERS:

Displays all GTC (Good-Till-Cancelled) orders in your account. Also included in the section are GTX orders (GTC orders eligible for extended trading hours).

MONEY MARKET ACTIVITY:

Purchases, reinvestments and liquidations of money market funds, plus redemptions of money market fund checks.

DEFINITION OF ACCOUNT TYPES C=Cash, M=Margin, I=Income, L=Legal, S=Short, X=RVP/DVP, and O=Other

REALIZED GAIN/LOSS DETAIL AND PORTFOLIO SUMMARY SECTIONS:

Displays mutual funds by average cost and other securities by individual tax lot. Realized gains (losses) are reflected on a trade date basis for transactions settling during the current period or the period in which the shares were received, if later. Realized gains and losses may include transactions that settle during the following statement cycle. These transactions appear in the Portfolio Holdings section. Estimated unrealized gains (losses) are displayed for most securities currently held. The cost basis used in determining gains (losses) does not reflect wash sales and may not reflect all capital adjustments. Most exchanges reflected on this statement are treated as non-taxable, unless or until other information has been communicated to us. Cost basis of preferred securities with original issue discount ("OID") is not adjusted for the accretion of OID. For most long positions transferred between beneficial owners, the transferor's cost basis will be retained. For shares acquired from a decedent, you should consult your tax advisor to determine the appropriate valuations and holding period that applies. ALTHOUGH THE COST BASIS SHOWN MAY HAVE BEEN ADJUSTED, YOUR COST BASIS MAY REQUIRE ADDITIONAL ADJUSTMENTS. NOTE: WE DO NOT REPRESENT THE CALCULATIONS OF REALIZED AND UNREALIZED GAINS (LOSSES) AS AN OFFICIAL TAX ACCOUNTING OF SUCH FIGURES. FOR TAX PURPOSES, YOU SHOULD RELY ON YOUR OWN RECORDS AND THE CONSOLIDATED FORM 1099 SENT TO YOU.

Order Routing:

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealer's relationship with such venues. In addition, the Rule (SEC 606) requires broker-dealers to disclose, on customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact your broker or financial advisor.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS OF THE STATEMENT CLOSING DATE.

MUTUAL FUNDS AND OTHER SECURITIES ARE NOT INSURED BY THE FDIC, ARE NOT DEPOSITS OR OBLIGATIONS OF, OR GUARANTEED BY APEX, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

September 1, 2020 - September 30, 2020

PAGE 2 OF 21

1-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

► INCOME AND EXPENSE SUMMARY

	THIS PERIOD	YEAR TO DATE
Taxable dividends	\$0.00	\$3,119.44
Debit interest expense	\$6.81	\$26.02
Credit interest	0.00	0.12
Foreign tax paid	0.00	-937.29
Substitute payments	0.00	4.86

► PORTFOLIO SUMMARY

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS									
AMERICAN EAGLE OUTFITTERS INC	AEO	M	5	\$14.81	\$74.05	\$63.05	17%		.024%
CALL GOOG 11/20/20 1520 ALPHABET INC CLASS C EXP 11/20/2020	8223927	M	1	61.307	6,130.65		N/A		1.956
AMERICAN RESOURCES CORPORATION CLASS A COMMON SHARES	AREC	M	30	1.50	45.00	37.80	19		.014
AURORA CANNABIS INC COM NEW	ACB	M	100	4.65	465.00	980.00	-53		.148
BALLANTYNE STRONG INC	BTN	M	30	1.56	46.80	46.80	0		.015
BELLUS HEALTH INC COM	BLU	M	15	2.27	34.05	37.35	-9		.011
BIGCOMMERCE HOLDINGS INC SERIES 1 COMMON STOCK	BIGC	M	50	83.30	4,165.00		N/A		1.329
COTY INC COM CL A	COTY	M	15	2.70	40.50	53.70	-25		.013

September 1, 2020 - September 30, 2020

I-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ PORTFOLIO SUMMARY (CONTINUED)

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS (continued)									
EASTMAN KODAK COMPANY COM NEW	KODK	M	100	8.82	882.00		N/A		.281
CALL EA 10/16/20 131 ELECTRONIC ARTS INC EXP 10/16/2020	8KQPMX5	M	2	3.315	662.88		N/A		.212
FASTLY INC CLASS A COMMON STOCK	FSLY	M	2	93.68	187.36	185.68	1		.060
GLOBAL X FDS GLOBAL X CLOUD COMPUTING ETF	CLOU	M	300	23.89	7,167.00	7,320.00	-2		2.287
INFINERA CORP	INFN	M	315	6.16	1,940.40	2,296.35	-16		.619
MAGNITE INC COMMON STOCK	MGNI	M	9	6.945	62.51	66.15	-6		.020
PUT NKLA 10/16/20 20 NIKOLA CORPORATION EXP 10/16/2020	8PFMHW5	M	1	3.251	325.09		N/A		.104
PINTEREST INC CLASS A COMMON STOCK	PINS	M	6,243	41.51	259,146.93	229,679.97	13		82.690
PROGYNY INC COMMON STOCK	PGNY	M	250	29.43	7,357.50	7,137.50	3		2.348
PHUNWARE INC COMMON STOCK	PHUN	M	35	0.915	32.03	37.80	-15		.010
RITE AID CORPORATION COMMON STOCK	RAD	M	295	9.49	2,799.55		N/A		.893
ROCKET COMPANIES INC CLASS A COMMON STOCK	RKT	M	143	19.93	2,849.99		N/A		.909

September 1, 2020 - September 30, 2020

PAGE 4 OF 21

I-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

STATEMENT ACCOUNT

▶ PORTFOLIO SUMMARY (CONTINUED)

DESCRIPTION	SYMBOL/ CUSIP	ACCOUNT TYPE	QUANTITY	PRICE	MARKET VALUE	LAST PERIOD'S MARKET VALUE	% CHANGE	EST. ANNUAL INCOME	% OF TOTAL PORTFOLIO
EQUITIES / OPTIONS (continued)									
TRICIDA INC COMMON STOCK	TCDA	M	100	9.06	906.00	1,057.00	-14		.289
TECHNIPFMC PLC ORDINARY SHARE	FTI	M	8	6.31	50.48	61.60	-18	1	.016
US BANCORP DEL COM	USB	M	3	35.85	107.55	109.20	-2	5	.034
WORKHORSE GROUP INC COM	WKHS	M	3	25.28	75.84	54.33	40		.024
Total Equities					\$288,435.54			\$6	92.036%
Total Options					\$7,118.62				2.271%
Total Cash (Net Portfolio Balance)					\$-17,840.65				5.693%
TOTAL PRICED PORTFOLIO					\$277,713.51			\$6	

▶ ACCOUNT ACTIVITY

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS							
BOUGHT	09/01/20	M	EASTMAN KODAK COMPANY COM NEW A/E 9LDHXXH9 1 ASSIGNED CUSIP: 277461406	100	24	\$2,405.00	

S T A T E M E N T A C C O U N T

September 1, 2020 - September 30, 2020

PAGE 5 OF 21

1-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/02/20	M	CALL TDOC 09/18/20 237.50 TELADOC HEALTH INC UNSOLICITED OPEN CONTRACT Security Number: 8LPWLL9	1	6.10	611.14	
BOUGHT	09/03/20	M	CALL NVDA 09/11/20 590 NVIDIA CORP UNSOLICITED OPEN CONTRACT Security Number: 8NHKQ3	1	9.70	971.14	
BOUGHT	09/03/20	M	CALL INTC 09/11/20 52 INTEL CORP UNSOLICITED OPEN CONTRACT Security Number: 8PCYPC7	1	1	101.14	
BOUGHT	09/03/20	M	CALL INTC 09/11/20 52 INTEL CORP UNSOLICITED OPEN CONTRACT Security Number: 8PCYPC7	1	0.94	95.14	
BOUGHT	09/03/20	M	CALL ROKU 09/11/20 180 ROKU INC UNSOLICITED OPEN CONTRACT Security Number: 8PDCFC6	1	4.50	451.14	
BOUGHT	09/03/20	M	CALL ROKU 09/11/20 185 ROKU INC UNSOLICITED OPEN CONTRACT Security Number: 8PDCFC9	1	4	401.14	

S T A T E M E N T

September 1, 2020 - September 30, 2020

1-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	09/03/20	M	CALL NVDA 09/11/20 590 NVIDIA CORP UNSOLICITED CLOSING CONTRACT Security Number: 8NHNKQ3	1	11.15		1,114.82
SOLD	09/03/20	M	CALL INTC 09/11/20 52 INTEL CORP UNSOLICITED CLOSING CONTRACT Security Number: 8PCYPC7	1	1.10		109.85
SOLD	09/03/20	M	CALL INTC 09/11/20 52 INTEL CORP UNSOLICITED CLOSING CONTRACT Security Number: 8PCYPC7	1	1.16		115.85
SOLD	09/03/20	M	CALL ROKU 09/11/20 180 ROKU INC UNSOLICITED CLOSING CONTRACT Security Number: 8PDCFC6	1	4.93		492.83
SOLD	09/03/20	M	CALL ROKU 09/11/20 185 ROKU INC UNSOLICITED CLOSING CONTRACT Security Number: 8PDCFC9	1	4.15		414.84
SOLD	09/04/20	M	CALL TDOC 09/18/20 237.50 TELADOC HEALTH INC UNSOLICITED CLOSING CONTRACT Security Number: 8LPWLL9	1	3.20		319.84

S T A T E M E N T A C C O U N T

September 1, 2020 - September 30, 2020

PAGE 7 OF 21

1-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/09/20	M	PUT BAC 09/18/20 25 BANK OF AMERICA CORP UNSOLICITED OPEN CONTRACT Security Number: 8BSBMP3	5	0.50	255.68	
BOUGHT	09/09/20	M	PUT BA 09/18/20 157.50 BOEING CO UNSOLICITED OPEN CONTRACT Security Number: 8LGDBG1	1	5.20	521.14	
BOUGHT	09/09/20	M	CALL IQ 09/18/20 22 IQIYI INC UNSOLICITED OPEN CONTRACT Security Number: 8LHFBC3	5	0.56	285.68	
BOUGHT	09/09/20	M	CALL IQ 09/18/20 22 IQIYI INC UNSOLICITED OPEN CONTRACT Security Number: 8LHFBC3	1	0.56	57.14	
BOUGHT	09/09/20	M	CALL DAL 09/18/20 34 DELTA AIR LINES INC DEL UNSOLICITED OPEN CONTRACT Security Number: 8NHWZB2	3	1.04	315.41	
BOUGHT	09/10/20	M	CALL SNAP 10/02/20 25 SNAP INC UNSOLICITED OPEN CONTRACT Security Number: 8D97336	3	0.89	270.41	

S T A T E M E N T
A C C O U N T

September 1, 2020 - September 30, 2020

PAGE 8 OF 21

1-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	09/10/20	M	CALL DAL 09/18/20 34 DELTA AIR LINES INC DEL UNSOLICITED CLOSING CONTRACT Security Number: 8NHWZB2	3	0.52		155.57
SOLD	09/14/20	M	CALL IQ 09/18/20 22 IQIYI INC UNSOLICITED CLOSING CONTRACT Security Number: 8LHFBC3	1	0.24		23.84
SOLD	09/14/20	M	CALL IQ 09/18/20 22 IQIYI INC UNSOLICITED CLOSING CONTRACT Security Number: 8LHFBC3	5	0.24		119.31
BOUGHT	09/15/20	M	CALL DKNG 10/02/20 44 DRAFTKINGS INC CL A UNSOLICITED OPEN CONTRACT Security Number: 8LZLLC0	1	2.47	248.14	
SOLD	09/15/20	M	PUT BAC 09/18/20 25 BANK OF AMERICA CORP UNSOLICITED CLOSING CONTRACT Security Number: 8BSBMP3	5	0.15		74.30
SOLD	09/15/20	M	PUT BA 09/18/20 157.50 BOEING CO UNSOLICITED CLOSING CONTRACT Security Number: 8LGDBG1	1	2.60		259.84

S T A T E M E N T

September 1, 2020 - September 30, 2020

PAGE 10 OF 21

I-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
SOLD	09/16/20	M	CALL AAPL 09/25/20 120 APPLE INC ADJ 4:1 STOCK SPLIT UNSOLICITED CLOSING CONTRACT Security Number: 8PDNWQ8	2	2.46		491.70
SOLD	09/16/20	M	CALL AAPL 09/25/20 120 APPLE INC ADJ 4:1 STOCK SPLIT UNSOLICITED CLOSING CONTRACT Security Number: 8PDNWQ8	3	2.46		737.57
BOUGHT	09/17/20	M	CALL GLD 10/16/20 194 SPDR GOLD TR UNSOLICITED OPEN CONTRACT AVERAGE PRICE TRADE DETAILS AVAILABLE UPON REQUEST Security Number: 8BYLYT0	4	1.0925	441.55	
BOUGHT	09/17/20	M	PUT BA 09/25/20 160 BOEING CO UNSOLICITED OPEN CONTRACT Security Number: 8LFZYB3	1	3.55	356.14	
SOLD	09/17/20	M	PUT BA 09/25/20 160 BOEING CO UNSOLICITED CLOSING CONTRACT Security Number: 8LFZYB3	1	3.70		369.85

S T A T E M E N T A C C O U N T

September 1, 2020 - September 30, 2020

PAGE 11 OF 21

1-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/21/20	M	CALL AMZN 09/25/20 3025 AMAZON.COM INC UNSOLICITED OPEN CONTRACT Security Number: 8PGMKS7	1	45.50	4,551.14	
SOLD	09/21/20	M	CALL SNAP 10/02/20 25 SNAP INC UNSOLICITED CLOSING CONTRACT Security Number: 8D97336	3	0.45		134.57
SOLD	09/21/20	M	CALL AMZN 09/25/20 3025 AMAZON.COM INC UNSOLICITED CLOSING CONTRACT Security Number: 8PGMKS7	1	47.60		4,759.74
BOUGHT	09/22/20	M	CALL AMAT 10/16/20 58.50 APPLIED MATERIALS INC UNSOLICITED OPEN CONTRACT Security Number: 8KQHPF6	3	1.80	543.41	
BOUGHT	09/22/20	M	CALL CSCO 10/16/20 39.50 CISCO SYSTEMS INC UNSOLICITED OPEN CONTRACT Security Number: 8KQNNM9	6	0.73	444.81	
BOUGHT	09/22/20	M	CALL EA 10/16/20 131 ELECTRONIC ARTS INC UNSOLICITED OPEN CONTRACT Security Number: 8KQPMX5	2	3.40	682.27	

S T A T E M E N T
A C C O U N T

September 1, 2020 - September 30, 2020

PAGE 14 OF 21

I-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/28/20	M	CALL UBER 10/16/20 35.50 UBER TECHNOLOGIES INC UNSOLICITED OPEN CONTRACT Security Number: 8KRC SH2	5	1.06	535.69	
BOUGHT	09/28/20	M	PUT NKLA 10/16/20 20 NIKOLA CORPORATION UNSOLICITED OPEN CONTRACT Security Number: 8PFMHW5	1	4.15	416.14	
SOLD	09/29/20	M	CALL UBER 10/16/20 35.50 UBER TECHNOLOGIES INC UNSOLICITED CLOSING CONTRACT Security Number: 8KRC SH2	5	1.96		979.28
BOUGHT	09/30/20	M	BIGCOMMERCE HOLDINGS INC SERIES 1 COMMON STOCK UNSOLICITED PRODUCT DESCRIPTION UNDER SEPARATE COVER CUSIP: 08975P108	50	81.06	4,053.04	
BOUGHT	09/30/20	M	RITE AID CORPORATION COMMON STOCK UNSOLICITED CUSIP: 767754872	295	10.25	3,023.98	
BOUGHT	09/30/20	M	ROCKET COMPANIES INC CLASS A COMMON STOCK UNSOLICITED PRODUCT DESCRIPTION UNDER SEPARATE COVER CUSIP: 77311W101	143	20.93	2,993.10	

S T A T E M E N T A C C O U N T

September 1, 2020 - September 30, 2020

PAGE 15 OF 21

I-17 TUA



1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ ACCOUNT ACTIVITY (CONTINUED)

TRANSACTION	DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BUY / SELL TRANSACTIONS (continued)							
BOUGHT	09/30/20	M	CALL BYND 10/09/20 172.50 BEYOND MEAT INC UNSOLICITED OPEN CONTRACT Security Number: 8C45656	1	6	601.14	
SOLD	09/30/20	M	CALL BYND 10/09/20 172.50 BEYOND MEAT INC UNSOLICITED CLOSING CONTRACT Security Number: 8C45656	1	5.96		595.83
SOLD	09/30/20	M	CALL AMAT 10/16/20 58.50 APPLIED MATERIALS INC UNSOLICITED CLOSING CONTRACT Security Number: 8KQHPP6	3	2.74		821.56
Total Buy / Sell Transactions						\$40,205.05	\$21,235.78
DEBIT INTEREST							
INTEREST	09/16/20	M	FROM 08/16 THRU 09/15 @ 8 % BAL 2,153 AVBAL 988			\$6.81	
Total Debit Interest						\$6.81	

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September 1, 2020 - September 30, 2020

PAGE 16 OF 21

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1000 W. FULTON MARKET, CHICAGO, IL 60607 | 312.724.7075

▶ EXECUTED TRADES PENDING SETTLEMENT - THESE TRANSACTIONS MAY NOT BE REFLECTED IN OTHER SUMMARIES

TRANSACTION	TRADE DATE	SETTLEMENT DATE	ACCOUNT TYPE	DESCRIPTION	QUANTITY	PRICE	DEBIT	CREDIT
BOUGHT	09/30/20	10/01/20	M	CALL DOCU 10/16/20 230 DOCUSIGN INC UNSOLICITED OPEN CONTRACT Security Number: 8KDNWK8	1	\$4.40	\$441.14	
BOUGHT	09/30/20	10/01/20	M	CALL DOCU 10/16/20 230 DOCUSIGN INC UNSOLICITED OPEN CONTRACT Security Number: 8KDNWK8	1	4.20	421.14	

Total Executed Trades Pending Settlement

\$862.28